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Majorana Fermions in Superconducting Chains

Matilda Peruzzo

Introduction

Fermions (I)

→ **Introduction**

Finite system

Continuous system

Experimental realization

Quantum many-body theory:

- Fermions $|\psi(x_1, x_2)\rangle = -|\psi(x_2, x_1)\rangle$

- Bosons $|\psi(x_1, x_2)\rangle = |\psi(x_2, x_1)\rangle$

Fermions (II)

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Properties

Pauli exclusion principle

$$|\psi(x_1, x_1)\rangle = -|\psi(x_1, x_1)\rangle$$

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Properties

Pauli exclusion principle

$$|\psi(x_1, x_1)\rangle = -|\psi(x_1, x_1)\rangle$$

$$(c_i^\dagger)^2 = c_i^2 = 0$$

Fermions (II)

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Properties

Pauli exclusion principle

$$|\psi(x_1, x_1)\rangle = -|\psi(x_1, x_1)\rangle$$

Anticommutation relations

$$|\psi(x_1, x_2)\rangle = c_1^\dagger c_2^\dagger |0\rangle$$

$$|\psi(x_2, x_1)\rangle = c_2^\dagger c_1^\dagger |0\rangle$$

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Pauli exclusion principle

$$|\psi(x_1, x_1)\rangle = -|\psi(x_1, x_1)\rangle$$

Anticommutation relations

$$c_1^\dagger c_2^\dagger |0\rangle = -c_2^\dagger c_1^\dagger |0\rangle$$

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Pauli exclusion principle

$$|\psi(x_1, x_1)\rangle = -|\psi(x_1, x_1)\rangle$$

Anticommutation relations

$$c_1^\dagger c_2^\dagger |0\rangle = -c_2^\dagger c_1^\dagger |0\rangle$$

$$c_1^\dagger c_2^\dagger + c_2^\dagger c_1^\dagger \equiv \left\{ c_1^\dagger, c_2^\dagger \right\} = 0$$

Fermions (II)

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Properties

Pauli exclusion principle

$$|\psi(x_1, x_1)\rangle = -|\psi(x_1, x_1)\rangle$$

Anticommutation relations

$$\{c_i, c_j\} = \{c_i^\dagger, c_j^\dagger\} = 0 \quad \{c_i, c_j^\dagger\} = \delta_{i,j}$$

Majorana fermions

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Properties

Hermitian creation operators

$$\gamma = \gamma^\dagger$$

Chargeless → linear combination of electron and hole

Majorana fermions

→ **Introduction**

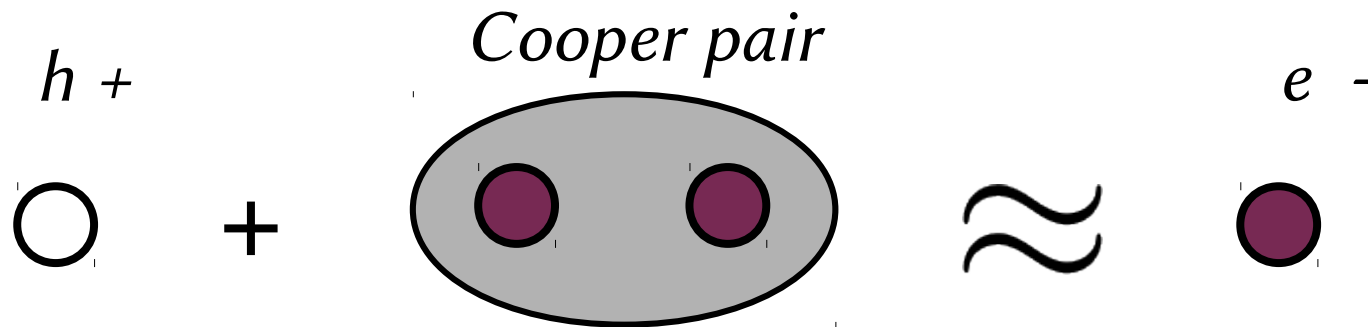
Finite system

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Experimental realization

Where to look for Majorana fermions?

Superconductivity



Kitaev wire

→ **Introduction**

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Finite superconducting chain

Kitaev wire

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Experimental realization



site occupation

$$\mathcal{H} = -\mu \sum_n^N c_n c_n^\dagger$$

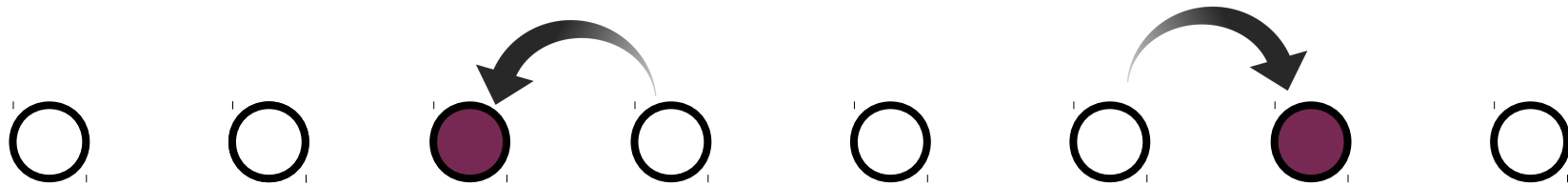
Kitaev wire

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Experimental realization



hopping between neighboring sites

$$\mathcal{H} = - \sum_n^N \mu c c^\dagger - \sum_n^{N-1} (t c_n^\dagger c_{n+1} + h.c.)$$

Kitaev wire

→ **Introduction**

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Experimental realization



addition of a cooper pair in neighboring sites

$$\mathcal{H} = - \sum_n^N \mu c c^\dagger - \sum_n^{N-1} (t c_n^\dagger c_{n+1} - \Delta c_n c_{n+1} + h.c.)$$

Majorana operators

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Experimental realization

$$\gamma_1 = \frac{c + c^\dagger}{2} \quad \gamma_2 = i \frac{c - c^\dagger}{2}$$

- Hermitian operators
- Majorana from different sites satisfy fermion commutation relations
- Two Majorana operators correspond to one fermion

Majorana operators

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Experimental realization

$$\gamma_{2n-1} = \frac{c_n + c_n^\dagger}{2} \quad \gamma_{2n} = i \frac{c_n - c_n^\dagger}{2}$$

- Hermitian operators
- Majorana from different sites satisfy fermion commutation relations
- Two Majorana operators correspond to one fermion

Finite superconducting chain

Kitaev hamiltonian

Analytically solvable cases:

- $t = \Delta = 0, \mu \neq 0$

Trivial phase

$$\mathcal{H} = i\mu \sum_{n=1}^N \gamma_{2n-1} \gamma_{2n}$$

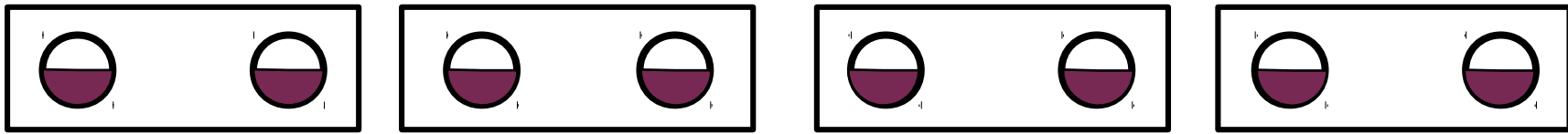
- $\mu = 0, t = -\Delta \neq 0$

Topological phase

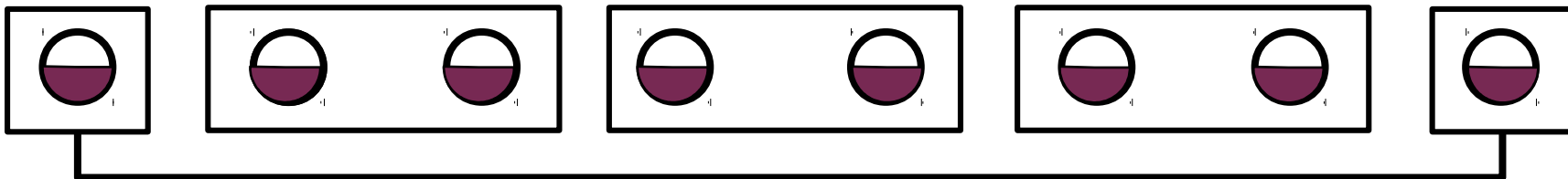
$$\mathcal{H} = it \sum_{n=1}^{N-1} \gamma_{2n} \gamma_{2n+1}$$

Kitaev hamiltonian

- $t = \Delta = 0, \mu \neq 0$



- $\mu = 0, t = -\Delta \neq 0$



↓
Edge state

Numerical calculation

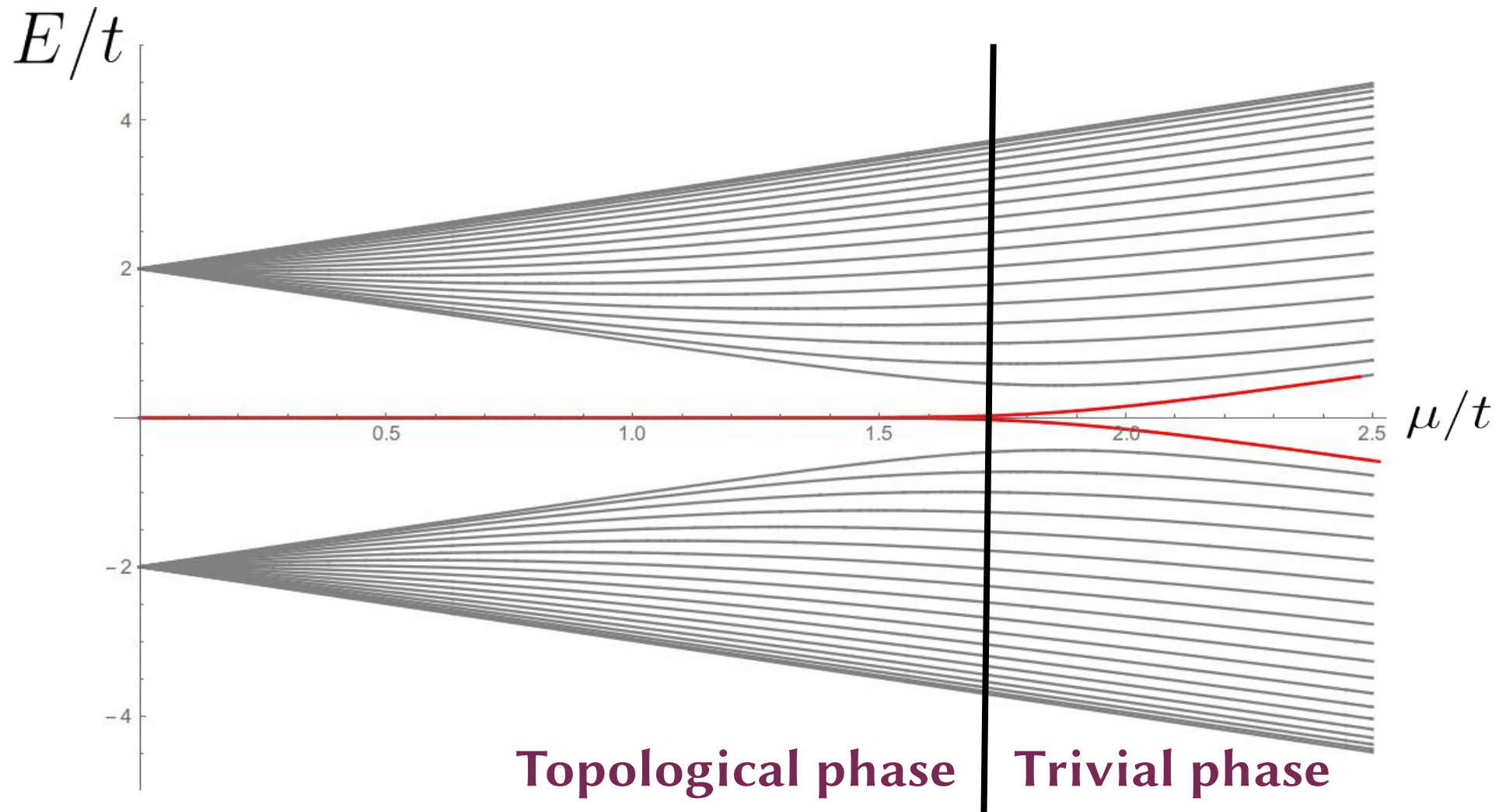
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Continuous system

Experimental realization

$$t = -1, \Delta = -1, N = 20$$



Numerical calculation

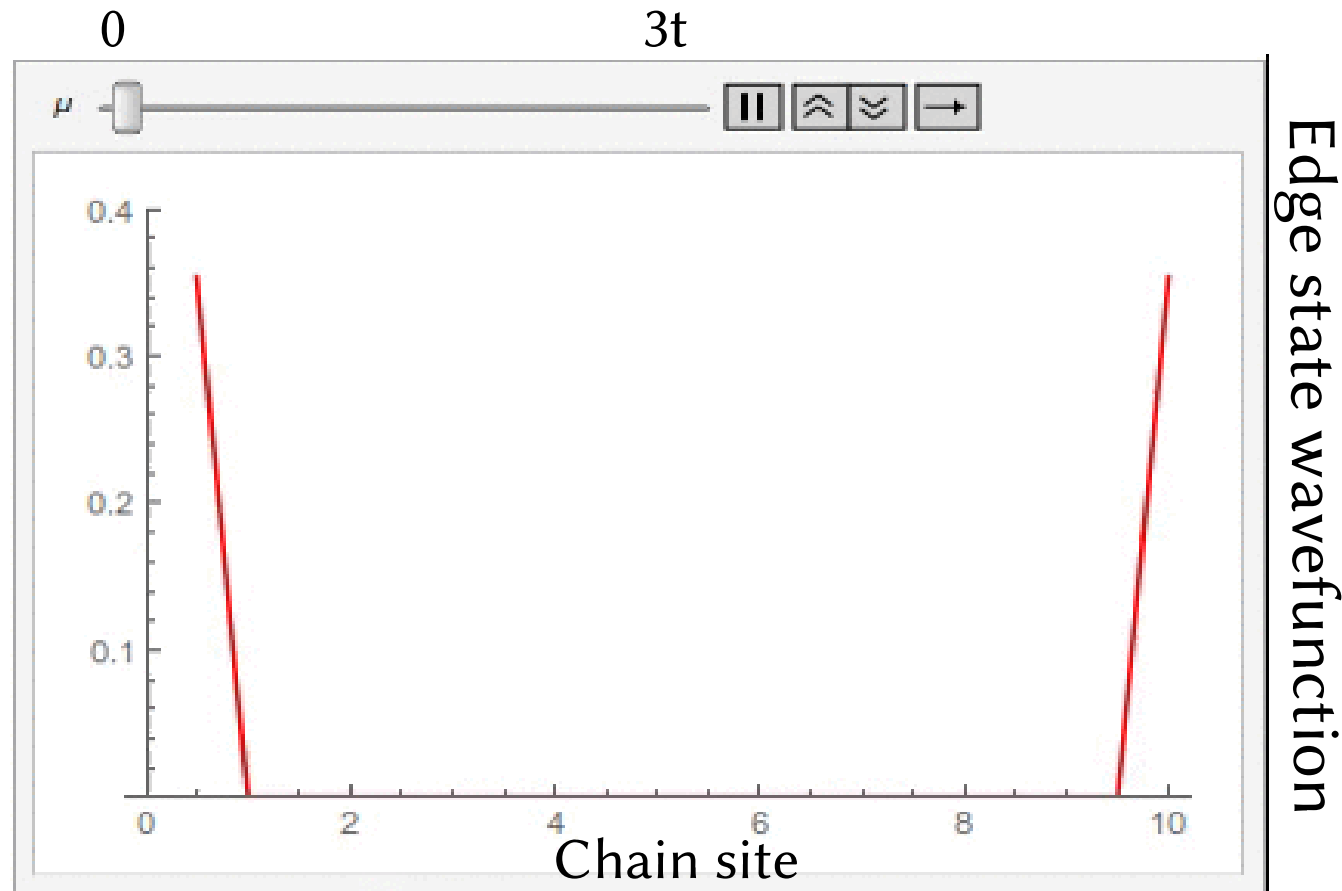
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Experimental realization

$$t = -1, \Delta = -1, N = 20$$



Infinite superconducting chain

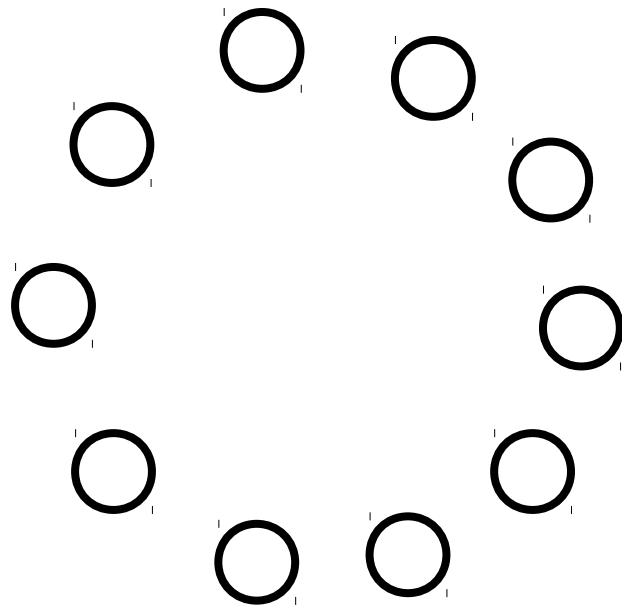
Closing the chain

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Experimental realization



Periodic boundary
conditions

Fourier transform into
momentum space

Momentum space

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Experimental realization

$$|k\rangle = N^{-1/2} \sum_n^N e^{-ikn} |n\rangle \quad \text{Transformation}$$

$$\mathcal{H}(k) = \sum_k \langle k|H|k\rangle \quad \text{Hamiltonian becomes decomposable}$$

$$\langle k|H|k\rangle = (-2t\cos(k) - \mu)\tau_z + \Delta\sin(k)\tau_y$$

Momentum space

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Experimental realization

$$\langle k|H|k\rangle = (-2t\cos(k) - \mu)\tau_z + \Delta\sin(k)\tau_y$$

$$\tau_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \tau_y = \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$$

Problem becomes 2-dimensional

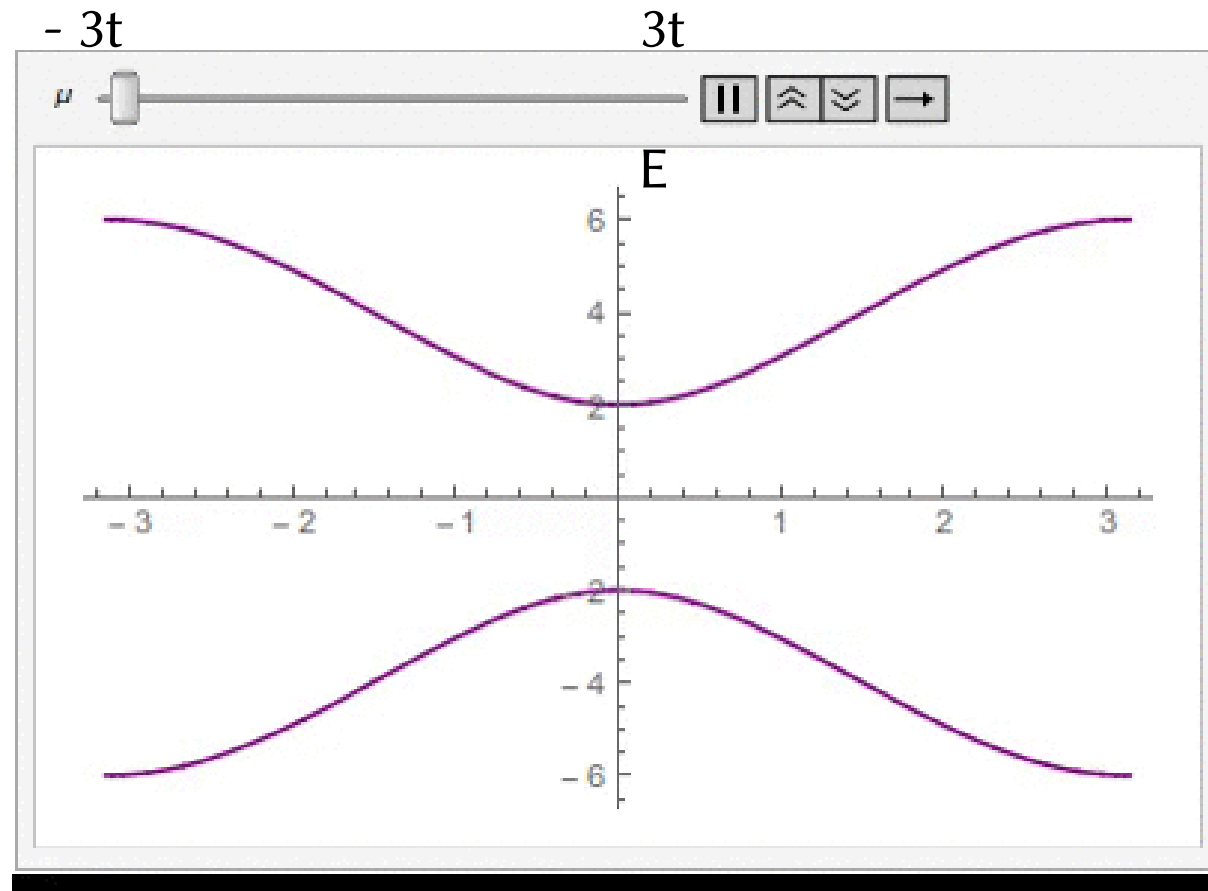
Energy bands

Introduction

Finite system

→ **Continuous system**

Experimental realization



Gap closing at $\mu = -2t$ and $\mu = 2t$

Topological invariant

Introduction

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Experimental realization

Quantity that can identify the topological phase of the system

$$\mathcal{H} = \bar{\sigma} \cdot \bar{h}(k) \quad \bar{\sigma} = (\tau_x, \tau_y, \tau_z)$$

Topological invariant

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Experimental realization

Quantity that can identify the topological phase of the system

$$\mathcal{H} = \bar{\sigma} \cdot \bar{h}(k) \quad \bar{\sigma} = (\tau_x, \tau_y, \tau_z)$$

$$\langle k|H|k\rangle = (-2t\cos(k) - \mu)\tau_z + \Delta\sin(k)\tau_y$$

$$\bar{h}(k) = (0, \Delta\sin(k), -2t\cos(k) - \mu)$$

Topological invariant

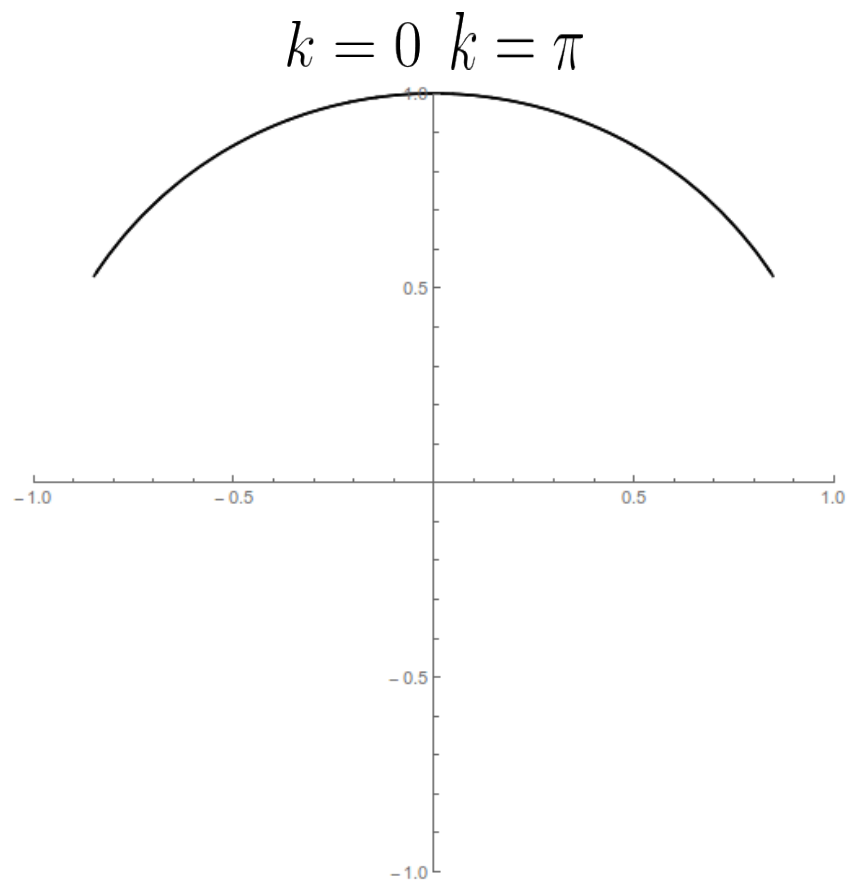
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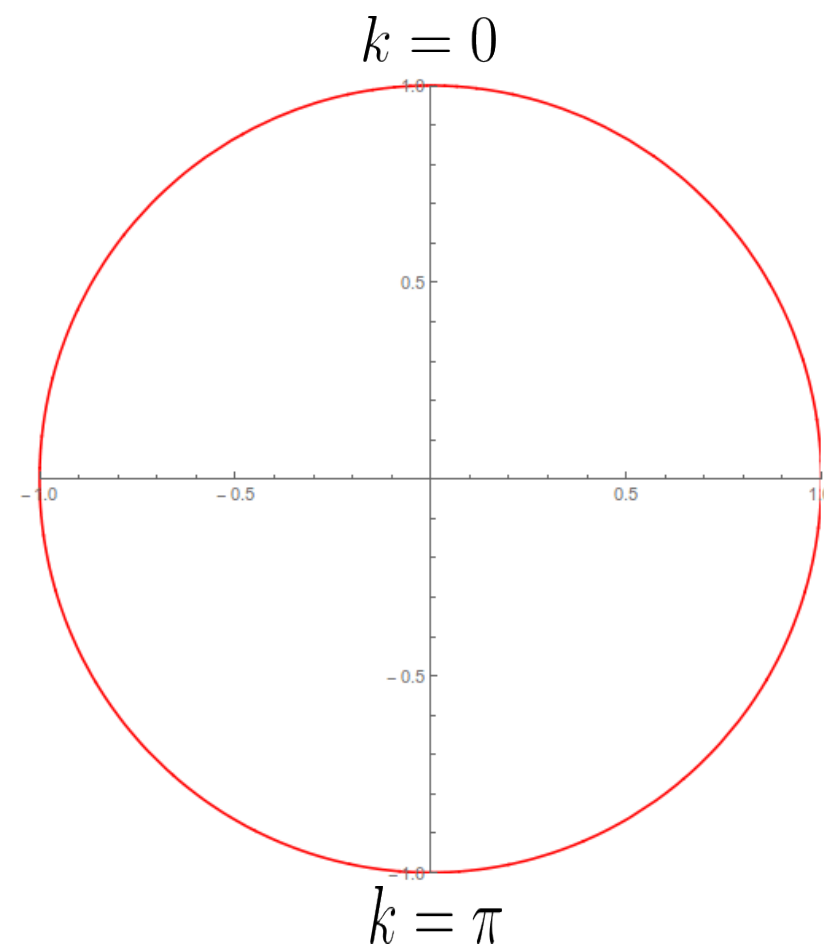
→ **Continuous system**

Experimental realization

Trivial phase



Topological phase



Topological invariant

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Experimental realization

Invariant quantity

$$Q = \text{sgn}(h_z(0)h_z(\pi))$$

$$Q = \begin{cases} 1 & \text{In the trivial phase} \\ -1 & \text{In the topological phase} \end{cases}$$

More general Hamiltonian

$$h_{x,y}(k) = -h(-k) \quad h_z(k) = h_z(-k)$$

Topological invariant

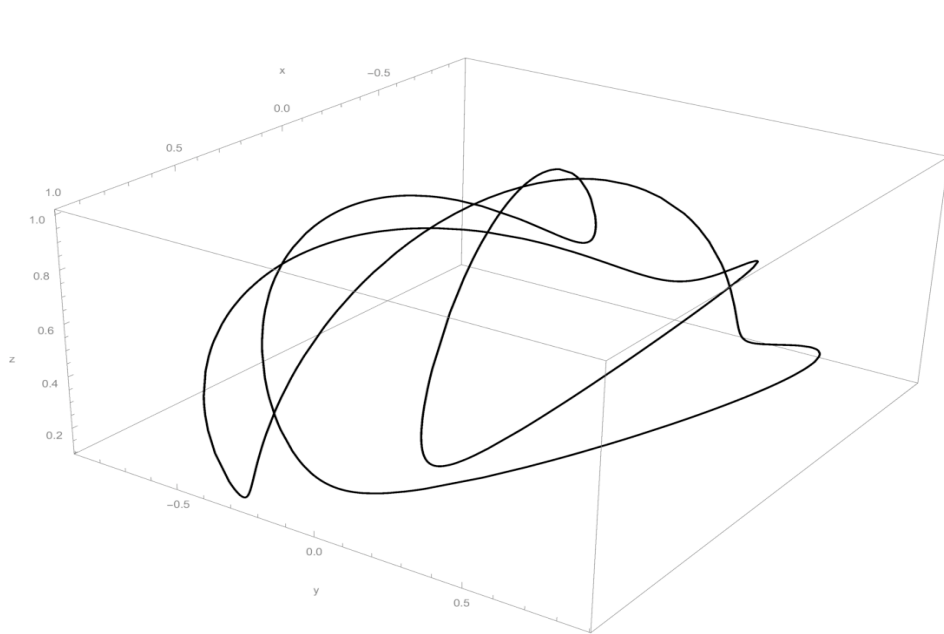
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→ **Continuous system**

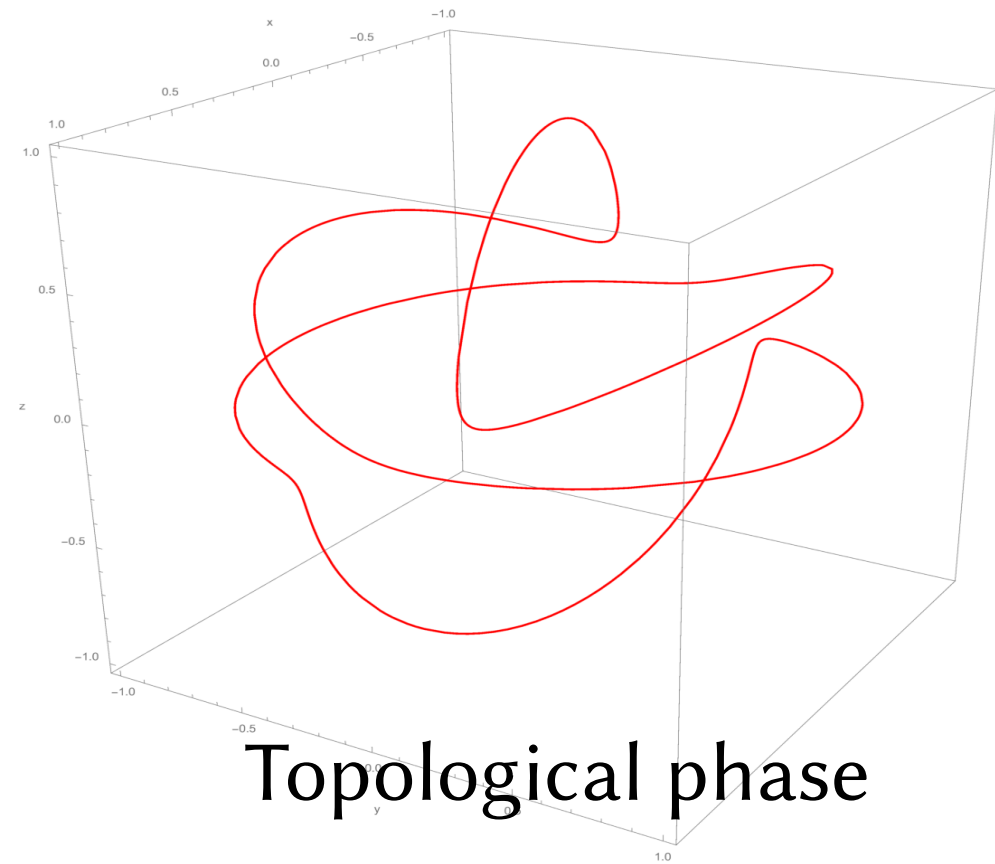
Experimental realization

$$h_x(k) = \sin(3k) \quad h_y(k) = 2\Delta \sin(2k) \quad h_z(k) = 2t \cos(2k) - \mu$$



Trivial phase

$$Q = 1$$



Topological phase

$$Q = -1$$

Experimental realization and results

s & p superconductors

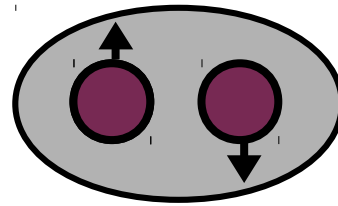
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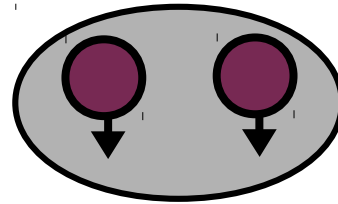
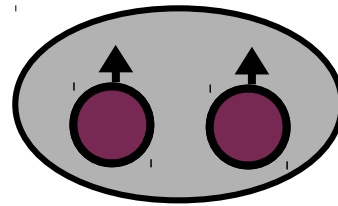
Continuous system

→ **Experimental realization**

- S-pairing



- P-pairing



System requirements

Introduction

Finite system

Continuous system

→ **Experimental realization**

Spinless p-wave

superconductor

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graph TD; A[Spinless p-wave] --- B[superconductor]; B --> C[Band gap and cooper pairing];
```

Band gap and cooper
pairing

System requirements

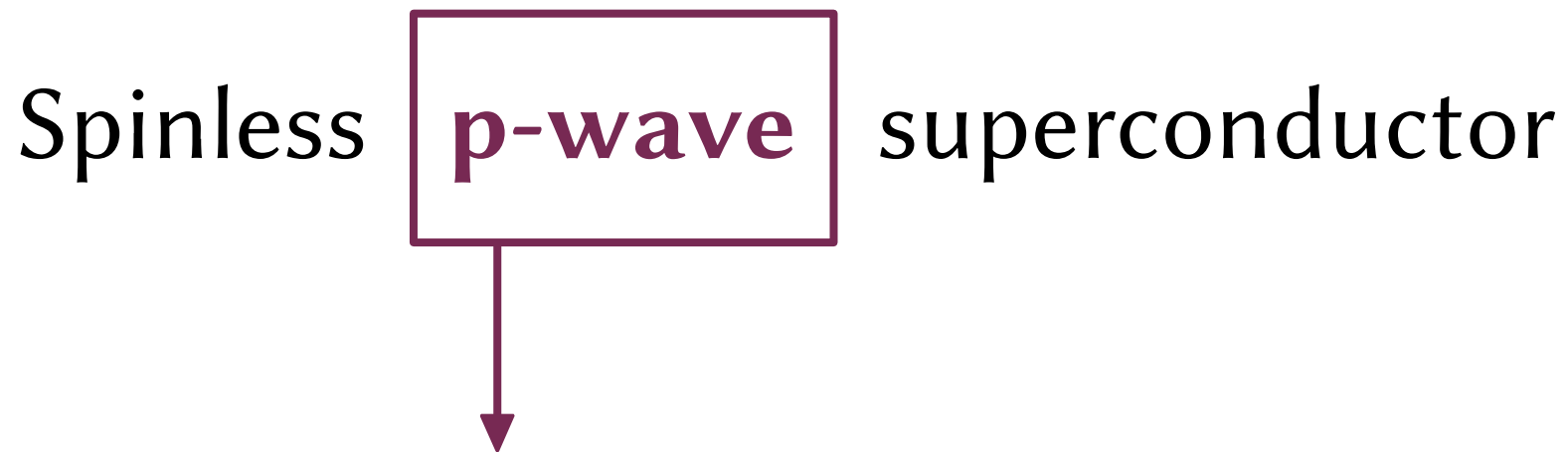
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→ **Experimental realization**

Spinless **p-wave** superconductor



Allows for momentum
dependent band gap

System requirements

Introduction

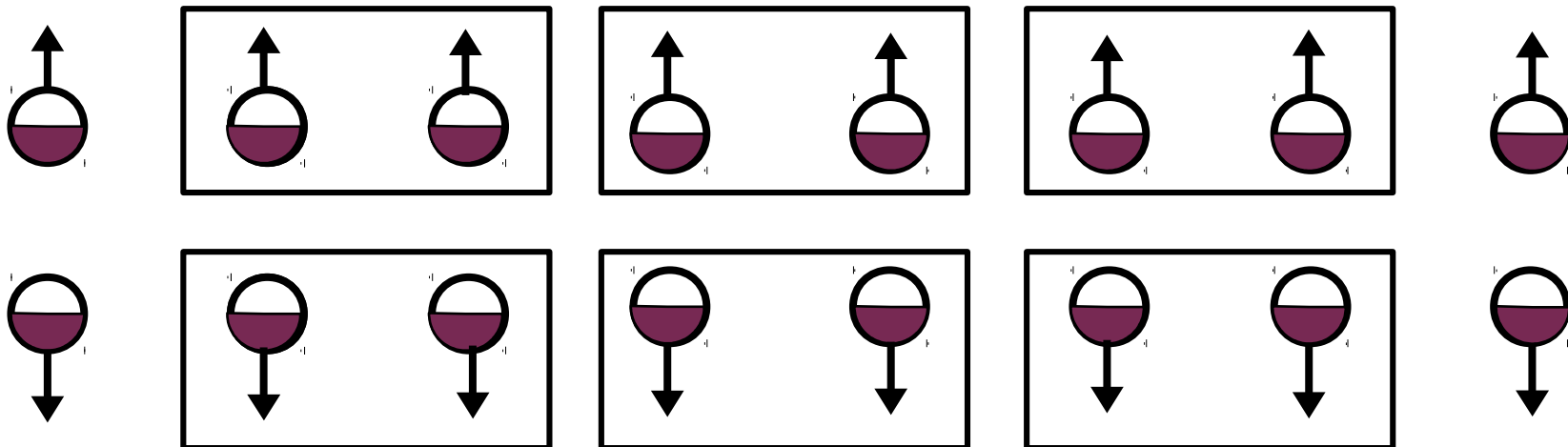
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→ **Experimental realization**

Spinless

p-wave superconductor



System requirements

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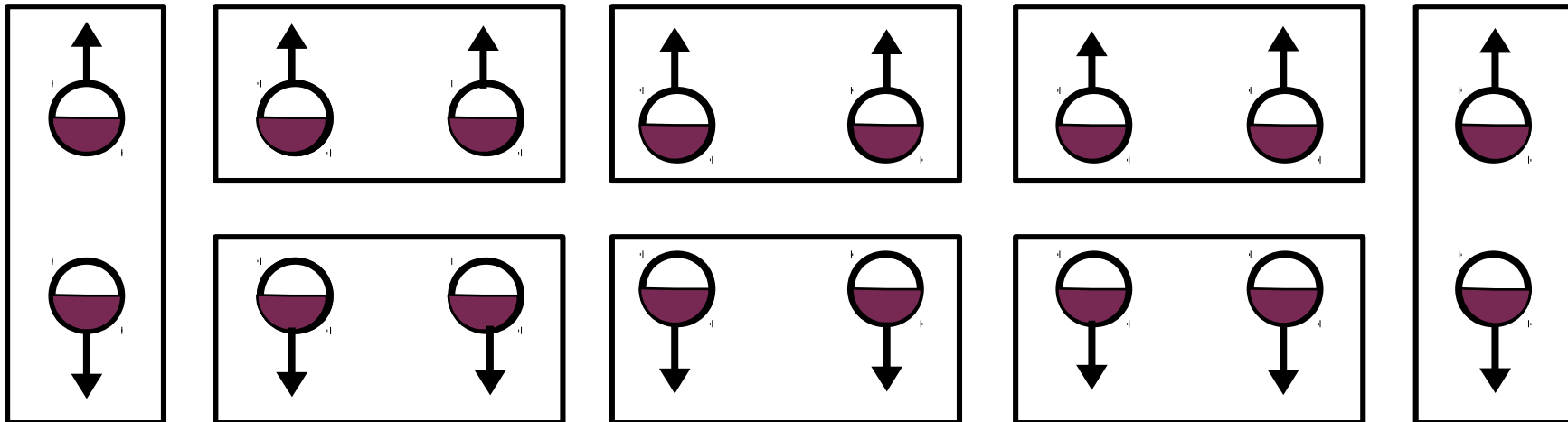
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Spinless

p-wave superconductor



System requirements

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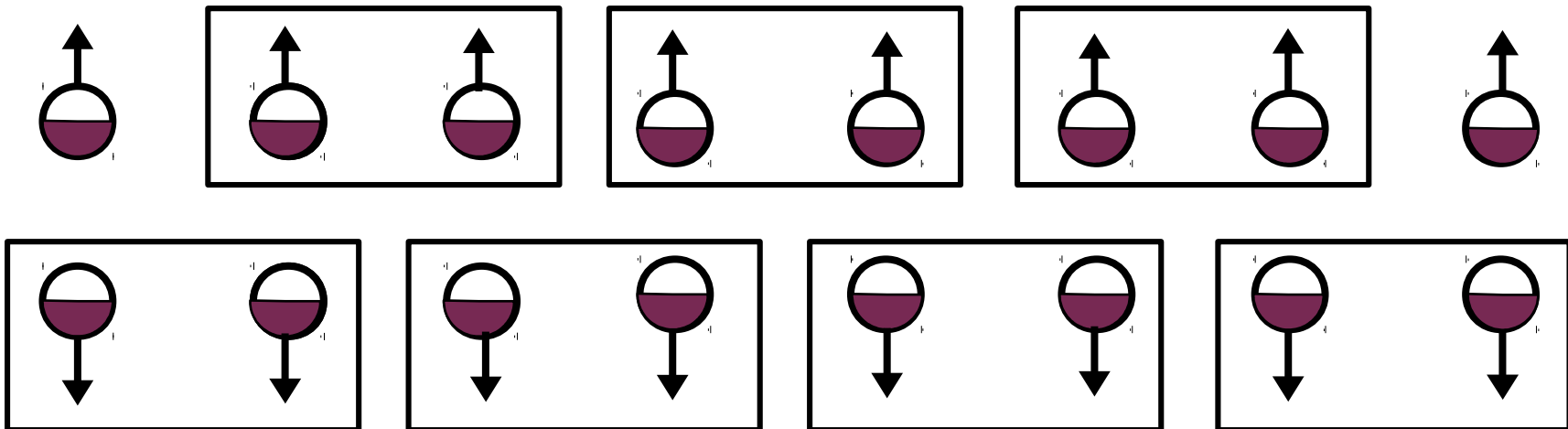
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→ **Experimental realization**

Spinless

p-wave superconductor



System requirements

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→ **Experimental realization**

- Superconductivity
 - Magnetic field
- Spin orbit coupling

System requirements

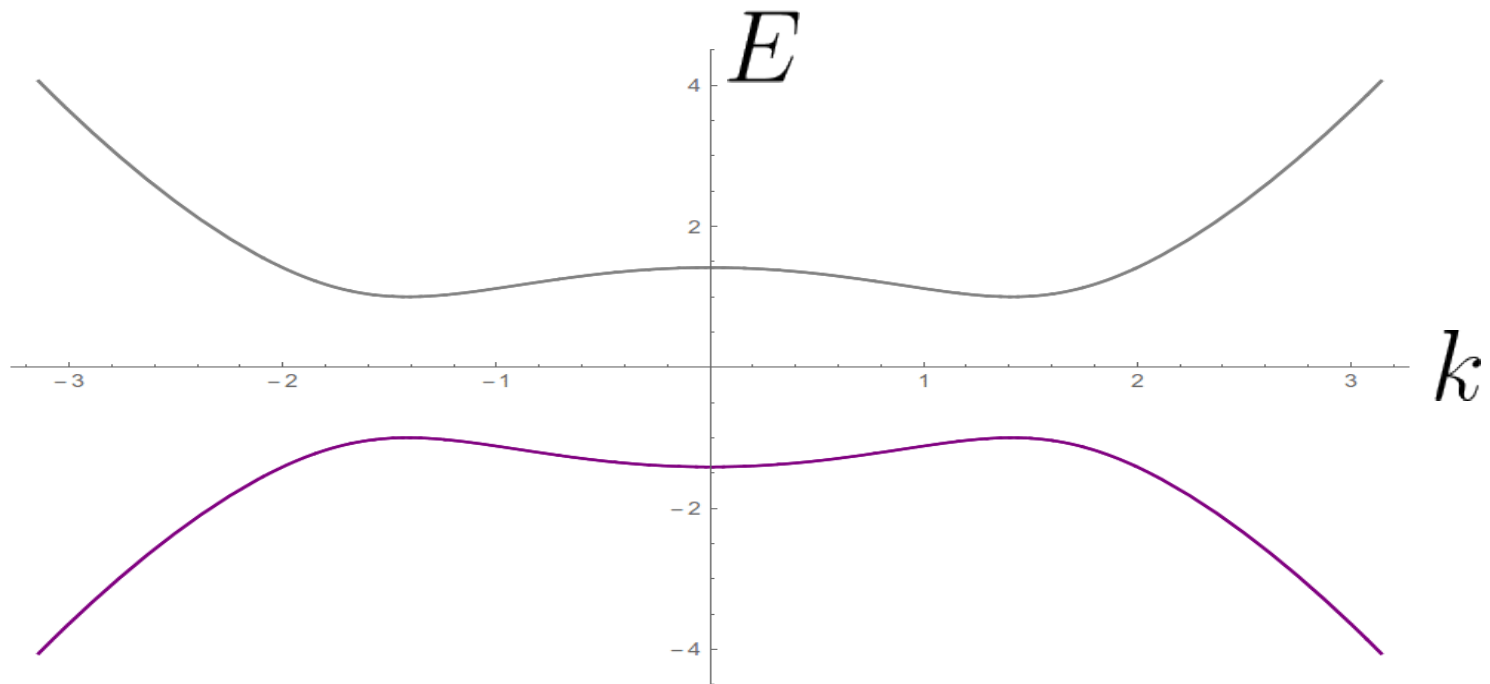
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→ **Experimental realization**

Electron chain + superconductivity

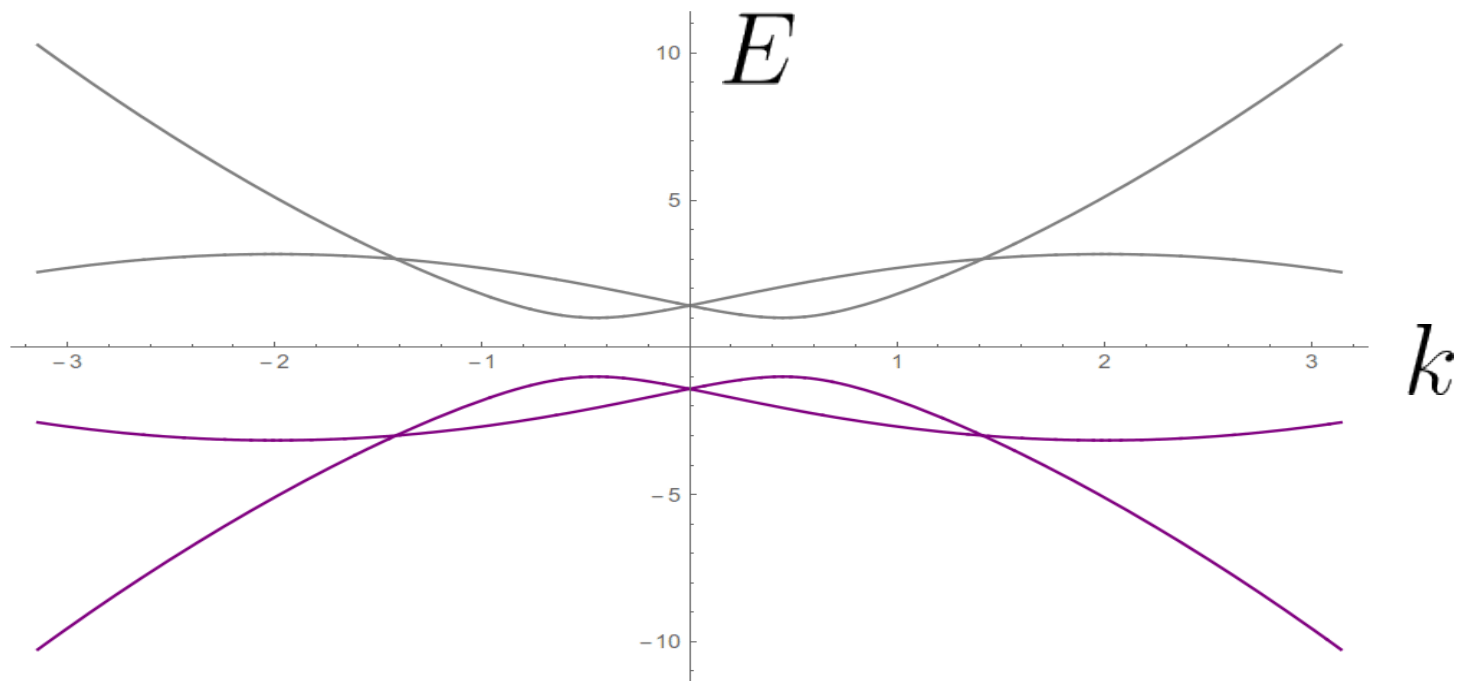


$$\mathcal{H} = \frac{k^2}{2m} \tau_z + \Delta \tau_x$$

System requirements

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Electron chain + superconductivity + **spin orbit coupling**



$$\mathcal{H} = \left(\frac{k^2}{2m} + \boxed{\alpha \sigma_y k} \right) \tau_z + \Delta \tau_x$$

System requirements

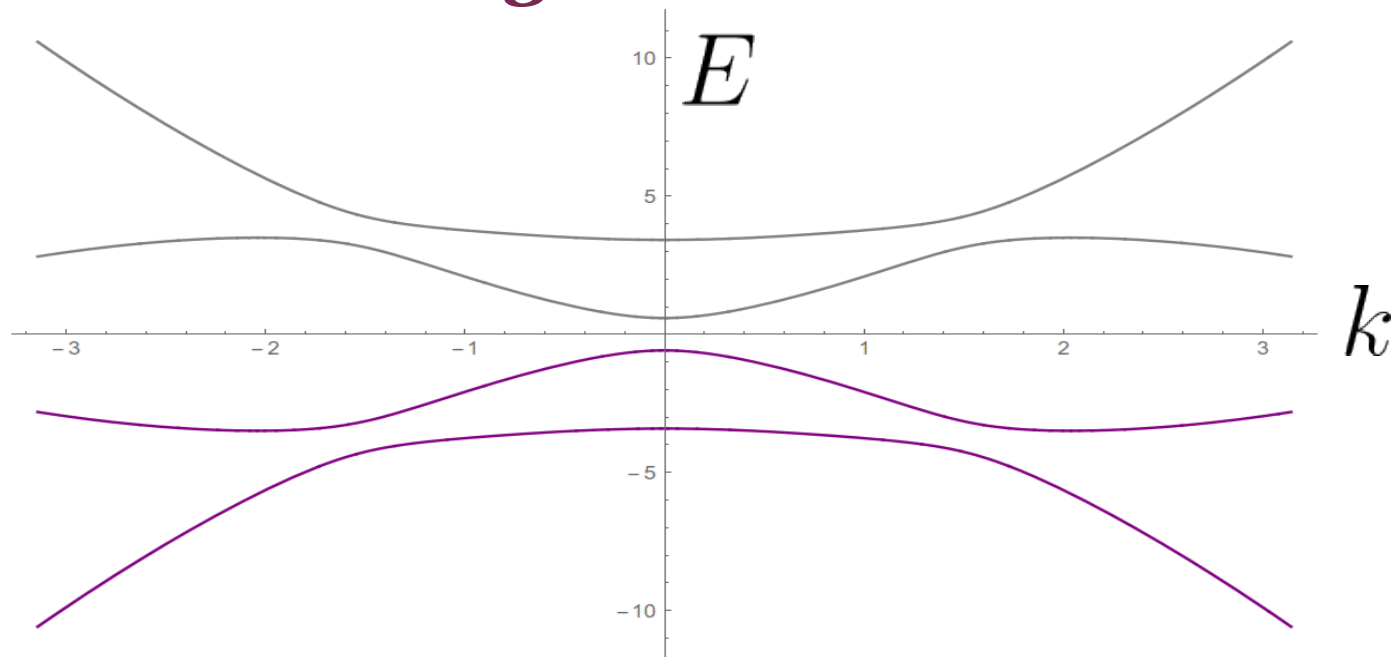
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→ **Experimental realization**

Electron chain + superconductivity + spin orbit coupling
+ **magnetic field**



$$\mathcal{H} = \left(\frac{k^2}{2m} + \alpha \sigma_y k \right) \tau_z + \boxed{B \sigma_z} + \Delta \tau_x$$

System requirements

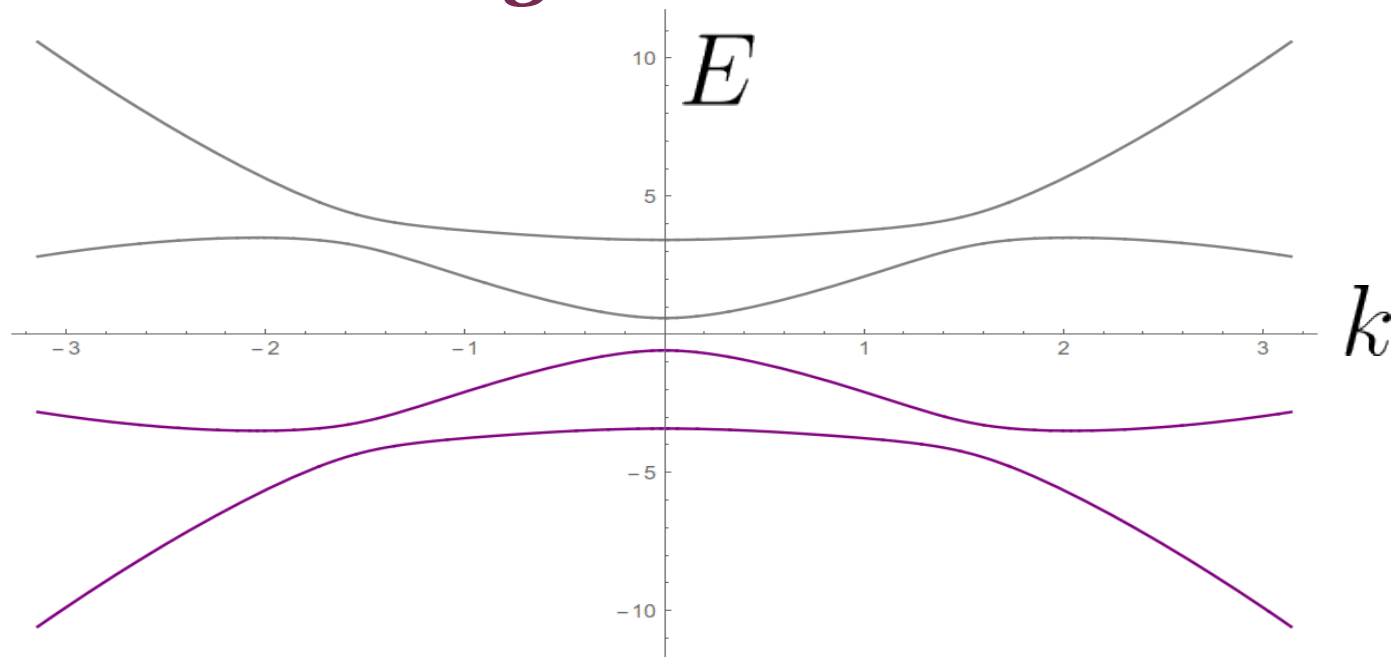
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→ **Experimental realization**

Electron chain + superconductivity + spin orbit coupling
+ **magnetic field**



$$\text{Condition: } B^2 > \Delta^2 + \mu^2$$

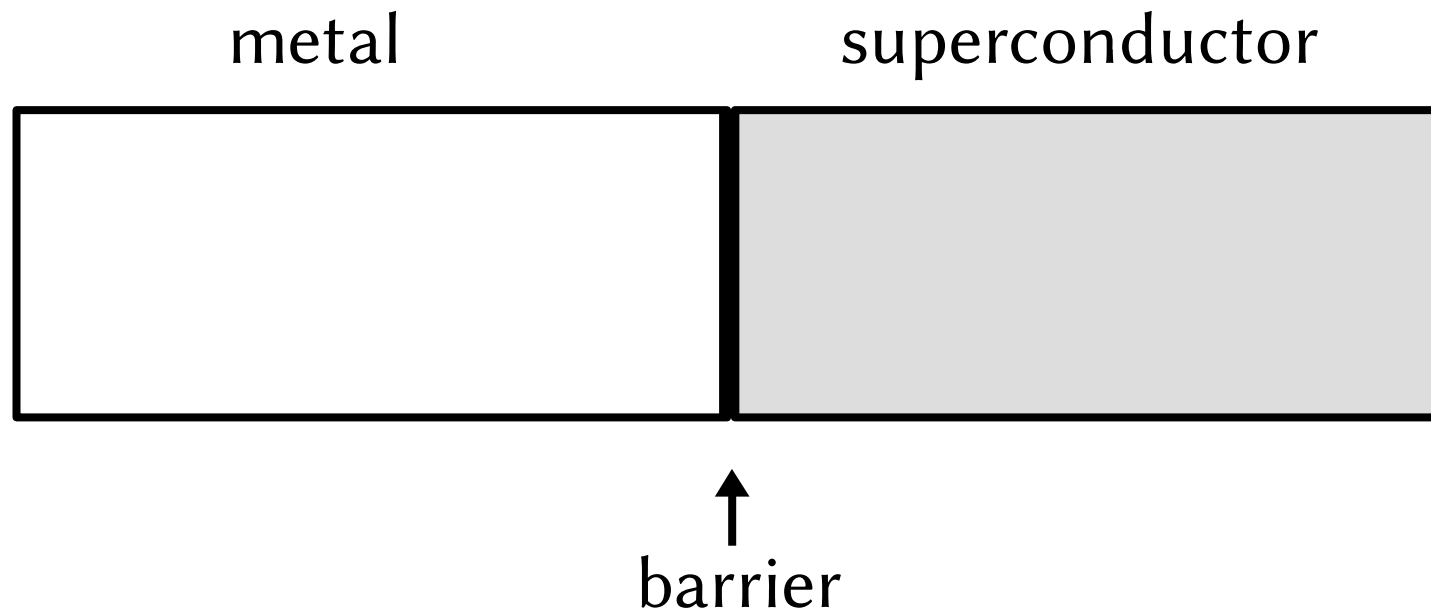
Andreev reflection

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→ **Experimental realization**



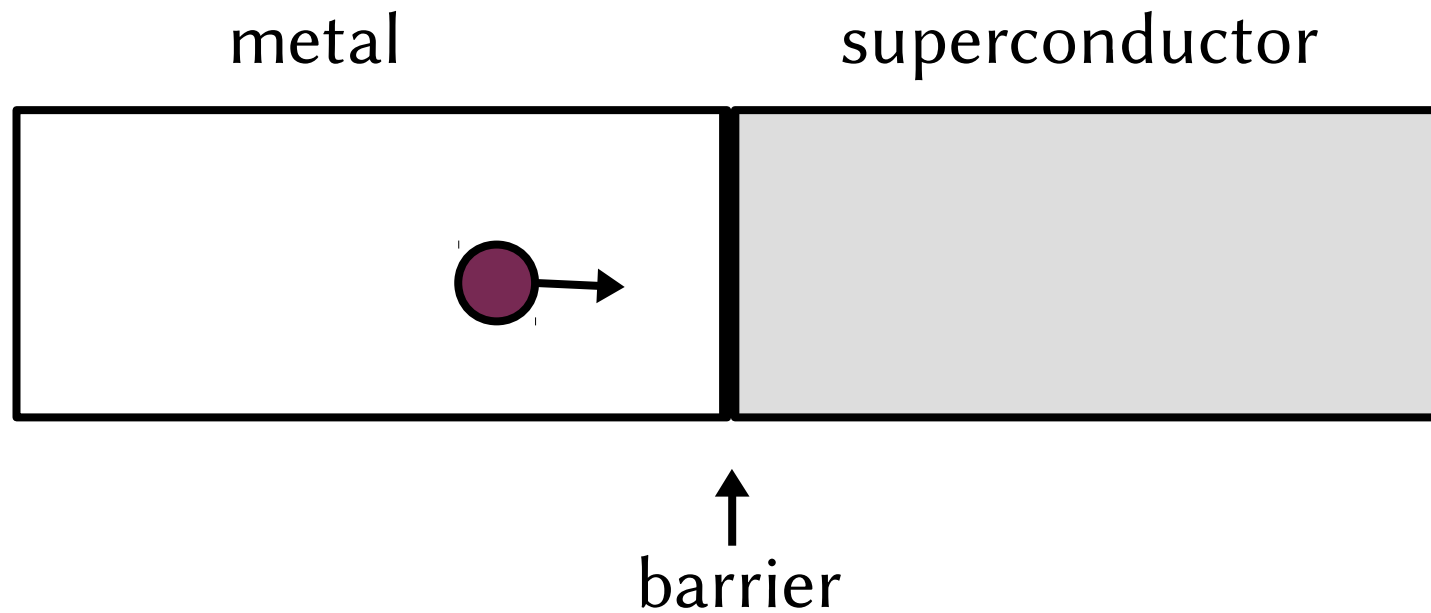
Andreev reflection

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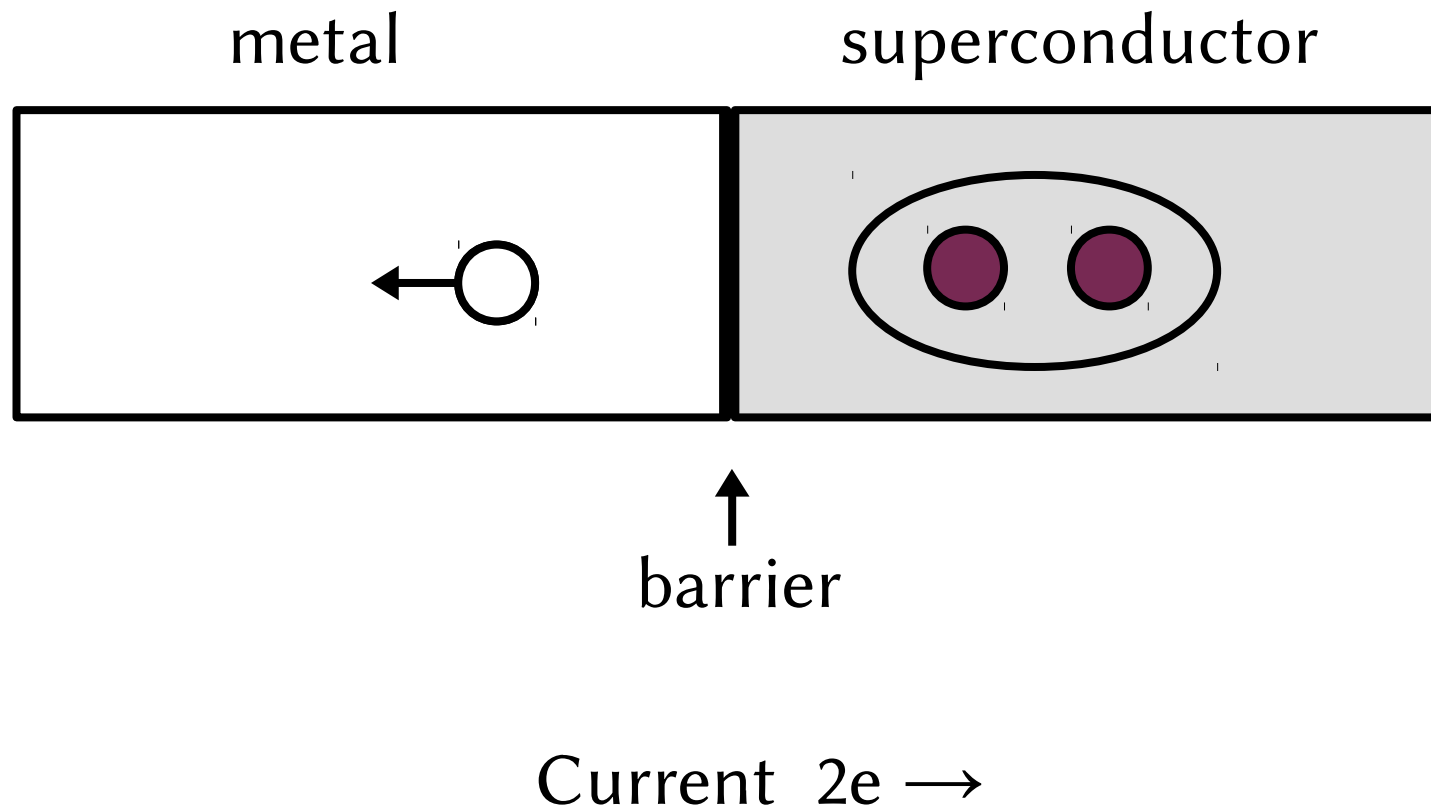
Andreev reflection

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→ **Experimental realization**



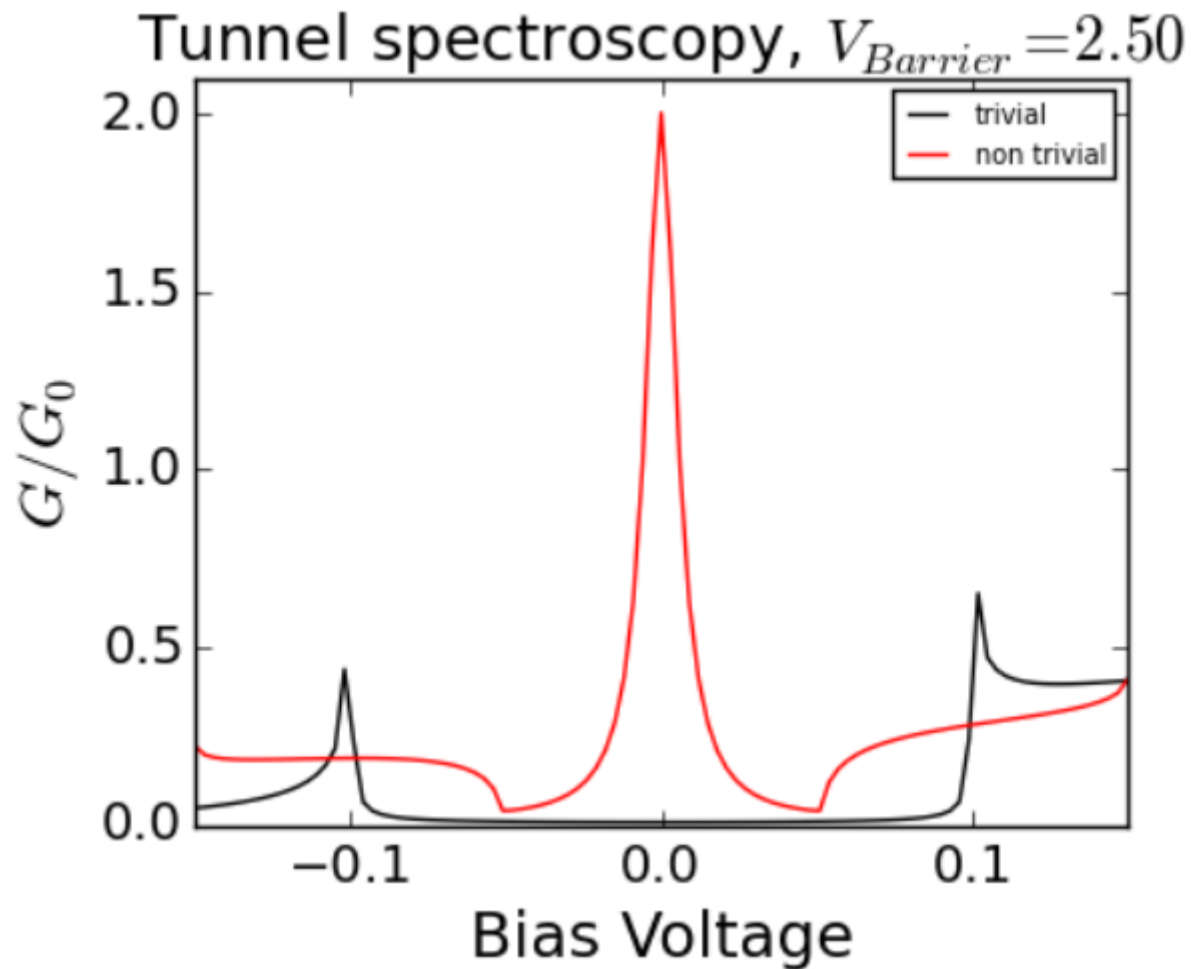
Conductance

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→ **Experimental realization**



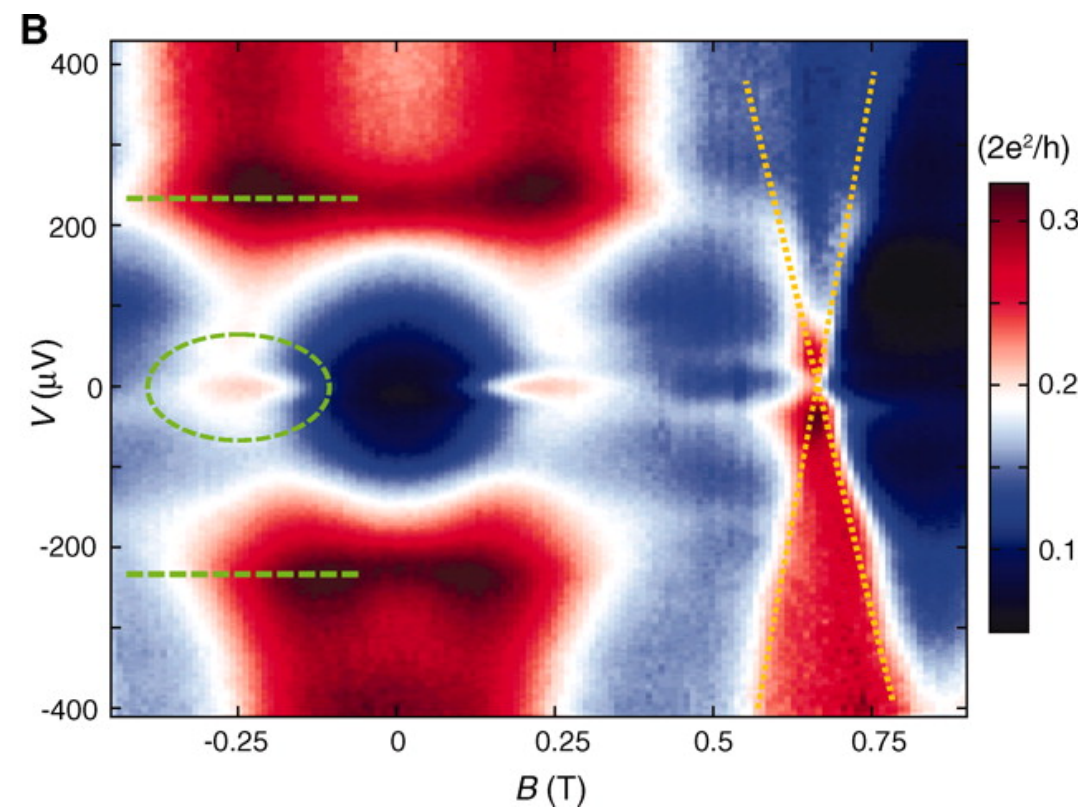
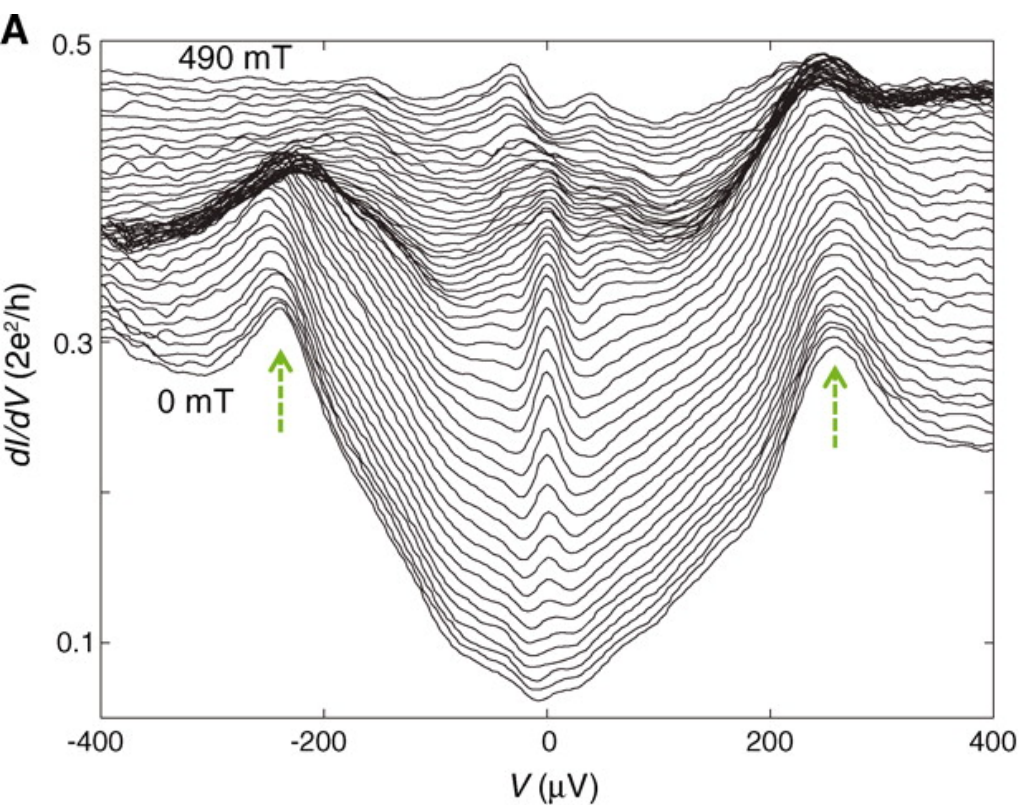
Experimental results

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→ **Experimental realization**



V. Mourik et al., *Signatures of Majorana fermions in hybrid superconductor-semiconductor nanowire devices*, Science, 2012

Extensions

Introduction
Finite system
Continuous system
Experimental realization

- Wire circuits
- Higher dimensions
- Exchange operations

**Thank you for
listening**